

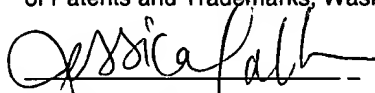
10-7-02

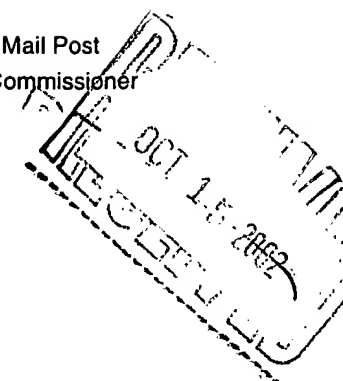
213174 BT
10-15-02

Express Mail mailing label no. EV 189455459 US

Date of Deposit October 3, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.


Jessica Pallach



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cooper et al.

Docket No.: SECU0001CIP

Serial No. : 09/881,147

Art Unit: Unassigned

Filed: June 14, 2001

Examiner: Unassigned

Title: System and Method for Security Policy

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
OCT 09 2002
Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
 - ☒ Certification under 37 CFR 1.97(e), or
 - ☐ a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
 - ☐ Certification under 37 CFR 1.97(e), and
 - ☐ a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. SECU0001CIP). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'Kirk D. Wong', followed by a long horizontal line extending to the right.

Kirk D. Wong
Reg. No. 43,284

Customer No. 22862

PATENT

In re Application of: Cooper et al.

Docket No.: SECU0001CIP

Serial No. : 09/881,147

Art Unit: Unassigned

Filed: June 14, 2001

Examiner: Unassigned

Title: System and Method for Security Policy

**CERTIFICATION FOR
INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97(e)**

Commissioner of Patents
and Trademarks
Washington, DC 20231

Dear Sir:

A certification is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

I, the person signing below, certify

RECEIVED

OCT 09 2002

Technology Center 2100

(x) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1))

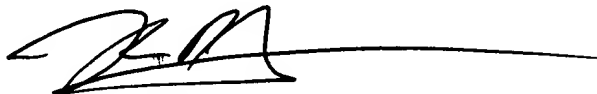
() that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual designated in §1.56(c)
- ☒ in the attorney's file

the attorney who signs below on the basis on the information in the attorney's files.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Kirk D. Wong', followed by a long horizontal line extending to the right.

Kirk D. Wong
Reg. No. 43,284

Customer No. 22862



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty. Docket No. SECU0001CIP	Serial No.: 09/881,147
	Applicant: Cooper et al.	
	Filing Date: June 14, 2001	Group: Unassigned

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,557,747	9/17/96	Rogers et al.	395	200.11	6/22/93
	B	5,991,877	11/23/99	Luckenbaugh	713	200	4/3/97

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	C	909 074	4/14/99	EPO	H04L	29/06	X	
	D	1 143 660	10/10/01	EPO	H04L	12/24	X	
	E	WO 93/11480	6/10/93	PCT	G06F	1/00	X	
	F	WO 99/67930	12/29/99	PCT	H04L	29/00	X	
	G	WO 00/35130	6/15/00	PCT	H04C	12/66	X	

RECEIVED

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.